



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RICHARD P. MANGOLD

Application No.: 10/074,554

Filed: February 11, 2002

For: **A Method for Protecting Memory Buffers  
from Unauthorized Access**

Art Group: 2153

Examiner:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated January 24, 2005 (copy enclosed herewith) in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

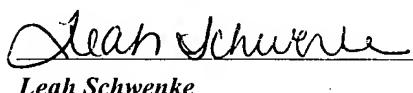
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: February 11, 2005

\_\_\_\_\_  
Mark L. Watson, Reg. No. 46,322

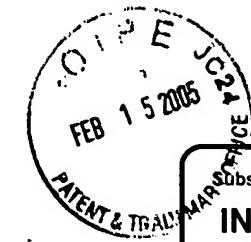
12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (303) 740-1980

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Leah Schwenke

02-11-05

Date



|  |  |          |           |                                 |                               |                    |
|--|--|----------|-----------|---------------------------------|-------------------------------|--------------------|
| <p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p> |  |          |           | <p><i>Complete if Known</i></p> |                               |                    |
| <p>Sheet</p>   |  | <p>1</p> | <p>of</p> | <p>2</p>                        | <p>Application Number</p>     | 10/074,554         |
|  |  |          |           |                                 | <p>Filing Date</p>            | February 11, 2002  |
|  |  |          |           |                                 | <p>First Named Inventor</p>   | Richard P. Mangold |
|  |  |          |           |                                 | <p>Art Unit</p>               | 2153               |
|  |  |          |           |                                 | <p>Examiner Name</p>          |                    |
|  |  |          |           |                                 | <p>Attorney Docket Number</p> | 42390P13347        |

U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

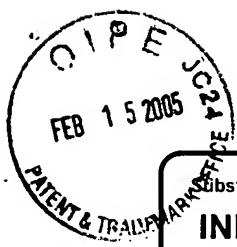
|                       |                    |
|-----------------------|--------------------|
| Examiner<br>Signature | Date<br>Considered |
|-----------------------|--------------------|

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003

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|  |   |    |   |                                 |                    |
|--|---|----|---|---------------------------------|--------------------|
| <p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b></p> |   |    |   | <p><i>Complete if Known</i></p> |                    |
| Sheet  | 2 | of | 2 | Application Number              | 10/074,554         |
|  |   |    |   | Filing Date                     | February 11, 2002  |
|  |   |    |   | First Named Inventor            | Richard P. Mangold |
|  |   |    |   | Art Unit                        | 2153               |
|  |   |    |   | Examiner Name                   |                    |
|  |   |    |   | Attorney Docket Number          | 42390P13347        |

## NON PATENT LITERATURE DOCUMENTS

|                       |                    |
|-----------------------|--------------------|
| Examiner<br>Signature | Date<br>Considered |
|-----------------------|--------------------|

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.  
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# FREE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT**

**(\$)**

#### Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   | 10/074,554         |
| Filing Date          | February 11, 2002  |
| First Named Inventor | Richard P. Mangold |
| Examiner Name        |                    |
| Art Unit             | 2153               |
| Attorney Docket No.  | 42390P13347        |

#### METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayment of fee(s)  Credit any overpayments  
 under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

#### FEE CALCULATION

##### Large Entity      Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1051     | 130      | 2051     | 65       | Surcharge - late filing fee or oath                              |          |
| 1052     | 50       | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet.          |          |
| 2053     | 130      | 2053     | 130      | Non-English specification  |          |
| 1251     | 120      | 2251     | 60       | Extension for reply within first month                           |          |
| 1252     | 450      | 2252     | 225      | Extension for reply within second month                          |          |
| 1253     | 1,020    | 2253     | 510      | Extension for reply within third month                           |          |
| 1254     | 1,590    | 2254     | 795      | Extension for reply within fourth month                          |          |
| 1255     | 2,160    | 2255     | 1,080    | Extension for reply within fifth month                           |          |
| 1401     | 500      | 2401     | 250      | Notice of Appeal   |          |
| 1402     | 500      | 2402     | 250      | Filing a brief in support of an appeal                           |          |
| 1403     | 1,000    | 2403     | 500      | Request for oral hearing   |          |
| 1451     | 1,510    | 2451     | 1,510    | Petition to institute a public use proceeding                    |          |
| 1460     | 130      | 2460     | 130      | Petitions to the Commissioner                                    |          |
| 1807     | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17(q)                              |          |
| 1806     | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                        |          |
| 1809     | 790      | 1809     | 395      | Filing a submission after final rejection (37 CFR § 1.129(a))    |          |
| 1810     | 790      | 2810     | 395      | For each additional invention to be examined (37 CFR § 1.129(b)) |          |

Other fee (specify) \_\_\_\_\_

SUBTOTAL (2)

**(\$)**

Complete (if applicable)

|                   |                |                                      |        |           |                |
|-------------------|----------------|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Mark L. Watson | Registration No.<br>(Attorney/Agent) | 46,322 | Telephone | (303) 740-1980 |
| Signature         |                |                                      |        | Date      | 02/11/05       |